

1 **Penetration characteristics of the interplanetary electric**  
2 **field to the day-time equatorial ionosphere.**

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29 **Abstract**

30

31 Using eight years of ionospheric drift measurements from the low latitude JULIA  
32 (Jicamarca Unattended Long-term Investigations of the Ionosphere and Atmosphere)  
33 radar, and the solar wind and interplanetary magnetic field data from the ACE (Advance  
34 Composition Explorer) satellite, we study the characteristics of the prompt penetration of  
35 electric fields to the equatorial ionosphere. A large database allowed us to bring out  
36 statistically significant characteristics of electric field penetration as a function of  
37 frequency. The coherence between the interplanetary electric field (IEF) and the  
38 equatorial electric field (EEF) peaks around a 2-hour period with a maximum magnitude  
39 squared coherence of 0.6. The coherence is slightly higher (0.7) on magnetically active  
40 ( $A_p > 20$ ) days. The cross-phase spectra between the ACE and JULIA variations, after  
41 elimination of the propagation delay, have negligible values. Correspondingly, the time  
42 shift between IEF and EEF is less than 5 minutes at all periods. We also find that the  
43 penetration efficiency is highest during local noon, as compared to morning and evening  
44 hours. The coherence is lower for days with high solar flux values. We find that the  
45 penetration of electric fields into the equatorial ionosphere has no significant dependence  
46 on season and on the polarity of IMF  $B_z$ . We propose a transfer function between IEF and  
47 EEF, which was validated on synthetic as well as observed IEF data. The use of this  
48 transfer function decreases the misfit of a climatological model with the measured  
49 equatorial electric field by 27%.

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51

## 52 **1. Introduction**

53  
54 The equatorial day-time ionospheric electric fields exhibit large day-to-day variability. A  
55 part of this can be explained in terms of wind-forced, diurnal variations which depend on  
56 the location, season, solar irradiation and local time (Scherliess and Fejer, 1999). Another  
57 part of the electric field variation is due to the influence of the interplanetary electric field  
58 variations. Abrupt changes as well as quasi periodic fluctuations of the solar-wind  
59 interplanetary electric field (IEF) are known to correlate with the equatorial ionospheric  
60 electric field variations. They are called penetrating electric fields (Kelley et al., 1979;  
61 Kikuchi et al., 1995; Huang et al., 2005; Nicolls et al., 2007). In this category, there are  
62 two types 1) prompt penetration, and 2) disturbance dynamo effects. Prompt penetration  
63 is the immediate response (in a matter of few minutes) of the ionospheric electric fields to  
64 a variation of IEF. The period range of the prompt penetration electric fields in the  
65 equatorial ionosphere is a subject of active research (Huang et al., 2007; Fejer et al.,  
66 2007). Various maximum periods have been given, starting from 20 minutes to several  
67 hours. The high-velocity meridional neutral winds, set-up by Joule heating of the  
68 thermosphere in the auroral region travel to the equatorial region and cause the  
69 disturbance dynamo electric field. With a speed of about 600 m/s, these disturbances  
70 reach low-latitudes about 4-5 hours after the onset of a storm (Fuller-Rowell et al., 1997).  
71 It has been reported that the effect of disturbance dynamo can persist for more than a day  
72 (Scherliess and Fejer, 1997). The present paper deals with the prompt penetration effects  
73 to equatorial ionosphere.

74  
75 The penetration of the interplanetary electric field (IEF) to the mid and low latitude  
76 ionosphere was first identified by Nishida (1968). He found that the fluctuations (DP2)  
77 observed in ground observatory data were coherent with the interplanetary magnetic field  
78 data. The prompt penetration effect was believed to last only up to ~60 minutes due to a  
79 shielding effect by the ring current system. For example, the empirical model for the  
80 prompt penetration by Fejer and Schierliess (1997) suggested that the effect vanish after  
81 60 minutes. However, Earle & Kelley (1987) argue that the shielding effect by the ring

82 current system is effective only for periods greater than 10 hours. The system, according  
83 to them, can act like a capacitor, which allows fluctuations with periods lower than 10  
84 hours to pass through.

85  
86 Dependence of the prompt penetration on local time, solar flux levels or season has so far  
87 not been studied using a sufficiently large data base. It is believed that a negative  
88 (southward) value for the vertical component of interplanetary magnetic field (IMF),  $B_z$   
89 results in an efficient penetration of the electric fields, due to reconnection between the  
90 geomagnetic field and interplanetary magnetic field. However, there are several reports  
91 showing prompt penetration while IMF  $B_z$  is northward. It would be interesting to see  
92 whether the efficiency of prompt penetration has a dependency on IMF  $B_z$  polarity.  
93 Several approaches were made to predict the equatorial ionospheric electric field from  
94 proxies of the energy inputs to earth's magnetosphere (e. g. Huba et al., 2005, Nicolls et  
95 al. 2007). However, Fejer et al. (2007) comment that the penetration effect is far more  
96 complex and cannot be explained by scaling factors or time rates of changes.

97  
98 One of the difficulties in studying the effect of prompt penetration is to separate it from  
99 the effects of the disturbance dynamo. For example, multiple events of IEF fluctuations  
100 can result in overlapped effects of prompt penetration and disturbance dynamo in the  
101 EEF. Due to the sporadic nature of radar-based ionospheric electric field and/or magnetic  
102 field measurements, most of the previous studies were event based. Hence the  
103 dependence of the penetration on season, solar-flux, geomagnetic activity and local time  
104 were not clear. Also the frequency dependence of electric field penetration to low  
105 latitudes is not clearly understood. The JULIA (Jicamarca Unattended Long-term  
106 Investigations of the Ionosphere and Atmosphere) measurements offer continuous day-  
107 time electric field measurements (Hysell et al., 1997). Interplanetary electric field data  
108 are available from the ACE satellite during this period. This provides an excellent  
109 opportunity to derive day-time EEF variations from IEF data. Recently Huang et al.  
110 (2007) used JULIA drift data and ACE derived IEF to arrive at a linear equation  
111 connecting them. Using the ACE-derived IEF data and magnetometer derived EEF data,

112 Nicolls et al. (2007) constructed a transfer function to predict EEF variations from IEF.  
113 However, both of these studies did not focus the issues we discussed above.

114

115 In this paper, we explore the relation of quasi-periodic fluctuations of IEF with that of the  
116 equatorial ionospheric electric field. The main objectives of this paper are: 1) to study the  
117 coherence and phase difference between IEF and EEF as a function of frequency. We  
118 investigate whether the coherence between IEF and EEF is caused by prompt penetration  
119 or by a disturbed dynamo effect, 2) to study the dependence of prompt electric field  
120 penetration to EEF on season, local time, solar flux, geomagnetic activity and IMF  $B_z$   
121 polarity, 3) to derive a transfer function to predict day-time ionospheric disturbances  
122 from IEF data. We intend to use this transfer function with a climatological model of  
123 EEF. Our study doesn't deal with 1) the longitudinal dependency of penetration effects  
124 and 2) The effects of penetration in the night-time ionosphere.

125

126 We first describe the data sets and processing, followed by the results and discussion.

## 127 ***2. Data and processing.***

128

129 We use the data measured by the ACE satellite and JULIA radar during 2001-08-01 to  
130 2008-03-08 for our study. During this period coincident data were available for 1002  
131 days.

132

### 133 **2a. Solar-wind electric-field from ACE**

134

135 We use the solar wind electric field data from the Advance Composition Explorer (ACE)  
136 satellite located at the L1 point (Libration point). The data are obtained from the OMNI  
137 website. The IEF  $E_y$  component is calculated as  $E_y = -V_x * B_z$ , where  $V_x$  is the solar  
138 wind velocity component in the sunward direction and  $B_z$  is the vertical component of the  
139 interplanetary magnetic field in Geocentric-Solar-Magnetospheric (GSM) coordinates.  $E_y$   
140 is the dawn-dusk component of the Interplanetary Electric field (IEF). Here, the x-axis  
141 points towards the sun, the z axis is perpendicular to the x-axis and is in the plane defined

142 by x-axis and geomagnetic dipole, and the y-axis points towards dusk. The OMNI data  
143 sets are primarily intended to support studies of the effects of solar wind variations on the  
144 magnetosphere and ionosphere and are time-shifted to the magnetosphere's bow-shock  
145 nose from the location of the ACE satellite. For a more detailed description of the  
146 processing visit the OMNI website. It has been suggested in the literature to use, for the  
147 characterization of the solar wind input, the merging (other names: reconnection, earth-  
148 effective) electric field (Kan and Lee, 1979),  $E_m = V_x \cdot \sqrt{(B_z^2 + B_y^2)} \cdot \sin^2(\theta/2)$ , where  $B_z$   
149 and  $B_y$  are IMF components and  $\theta$  is the clock angle of the IMF. However, we find that  
150 the JULIA drift data has better correlation with  $E_y$  than with  $E_m$ . Hence we use  $E_y$  for our  
151 subsequent analysis.

152

## 153 **2b. Drift data from JULIA radar**

154

155 The electric field variations of the day-time equatorial ionosphere are derived from  
156 JULIA radar measurements. The JULIA radar is located at the Jicamarca radio  
157 observatory, Peru (11.95° S geographic latitude, -76.87° E geographic longitude, and ~1°  
158 N magnetic latitude). Hysell et al. (1997) describes the instrument and first results from  
159 JULIA radar. JULIA observes the so called “150-km echoes” and the corresponding  
160 vertical Doppler velocity. The data are recorded in 5 min sampling intervals during day  
161 time. We use 1002 days of JULIA vertical drift data, during 2001-08-01 to 2008-03-08,  
162 for our study. Figure 1 shows the number of JULIA data available as a function of local  
163 time. JULIA measures the ionospheric drift during day time from 09-16 LT (13-21 UT).

**Fig 1**

164

165 As we can see, sufficient data are available over the local time sector 08-15 LT. It is  
166 necessary to remove the climatological part of the diurnal drift variation to compare the  
167 equatorial zonal electric fields with the IEF data. We use a model of the day-time JULIA  
168 drift by Alken (manuscript in preparation) to remove the daily drift variations. The model  
169 was created from all available JULIA data and is a function of local-time, season, and  
170 solar flux. Figure 2 shows an example of daily variation of JULIA drift data and the ACE  
171  $E_y$  data (further delayed by 17 minutes) on day 2006-10-01. The vertical drift predicted

172 by the climatological model matches quite well the observed variations. The thin line in  
173 the upper panel shows the residual variations. The IEF  $E_y$ , plotted in the lower panel  
174 exhibits similar variations.

**Fig 2**

175  
176  
177 We calculate the electric field from JULIA drift data by applying the formula  
178  $E_y = -v_z \times B$  (Kelly, 1989, page 68) where  $v_z$  is the residual vertical drift (observed drift  
179 – climatological drift ) in m/s and B is the magnetic field strength obtained from the  
180 IGRF model for the Jicamarca site at 150 km altitude. Hereinafter we use the acronyms  
181 IEF for inter planetary electric field  $E_y$  from ACE satellite and EEF for the residual  
182 equatorial zonal electric field derived from the JULIA drift measurements.

183

184

### 185 **3. Results**

186

#### 187 **3a. Coherence**

188

189 We construct time series pairs of IEF and EEF for the days that satisfy the following  
190 criteria. 1) Both EEF and IEF data are available 2) EEF data should be at least six hours  
191 long. Though the data were available for 1002 days, the number of days useful for  
192 analysis meeting these conditions was 265. The length of individual time series was  
193 chosen to be 6 hours. This is a trade-off between long and continuous data interval that  
194 we desire and the number of days available for the study. The next step was to estimate  
195 the average coherence function between them. Coherence is a function of frequency with  
196 values between 0 and 1 that indicate how well one input corresponds to the output at each  
197 frequency. The magnitude-squared coherence is computed as,

198

$$199 \quad C_{IEF-EEF}(\omega) = \frac{|P_{IEF-EEF}(\omega)|^2}{P_{IEF-IEF}(\omega) \cdot P_{EEF-EEF}(\omega)}$$

200 Where  $P_{IEF-EEF}$  is the cross-power spectrum between ACE and JULIA and  $P_{IEF-IEF}$  and  
 201  $P_{EEF-EEF}$  are their auto spectra. The power spectra and cross spectra are computed by  
 202 Welch's averaged periodogram method (Welch, 1967). Fast Fourier transforms (FFT) are  
 203 performed on each day's JULIA and ACE data which are tapered by Hanning window.  
 204 Periodograms are formed on each window and then periodograms of all windows at each  
 205 frequency are averaged to yield the power spectra. Cross spectral density is also  
 206 computed in the same way. We do not overlap the adjacent pairs as they are not  
 207 contiguous, time-wise (due to the nighttime measurement gaps in JULIA records). The  
 208 coherence functions is then computed using the average the cross and auto-power spectra.  
 209 The significance level of coherence was estimated following Thompson (1979). The  
 210 significance level is the limit up to which the coherence values can occur by chance. It is  
 211 given by

$$C_{conf}^2 = 1 - \alpha^{[1/n-1]},$$

212 where  $\alpha$  is the desired confidence level (here 0.05, equivalent to 95%) and  $n$  is the  
 213 degrees of freedom (number of days averaged).  
 214

**Fig 3**

215  
 216  
 217 We plot the coherence between IEF and EEF in Figure 3. The red line indicates the  
 218 average over all days. The black line shows the coherence for days with Ap index > 20 (=  $K_p \sim 3.5$ ). Ap index ranges from 0-400 and is derived from the planetary geomagnetic index,  $K_p$  and represents the general geomagnetic activity level. The blue line shows the coherence for days with Ap < 20. The main features of all the coherence functions are the peaks at 2 hours period. The coherence is highest for magnetically active days (0.7), followed by the coherence for all the days (0.6) and for the quiet days (0.5). The coherence spectra appear to have multiple peaks between 20 minutes and 2 hours period. This is more pronounced for days with Ap > 20. The coherence estimates are statistically significant for periods higher than 20 minutes in all the three conditions.

227

### 228 **3b. Phase difference**

229



230 We now examine the phase difference between IEF and EEF as a function of frequency.  
231 From all the available data, we compute average cross-phase spectra using different delay  
232 times between ACE and JULIA. Before we study the phase spectra, we need to correct a  
233 time delay between IEF and EEF data. The correction is for the time taken by the solar  
234 wind signals to propagate from the bow shock to the equatorial ionosphere. Note, the  
235 time delay for solar wind to propagate from the satellite position (L1 point) to bow-shock  
236 is accounted for by the OMNI processing. We plot the cross-phase spectra between IEF  
237 and EEF derived from all days in Figure 4. The blue line indicates the phase spectra with  
238 un-shifted IEF data. The monotonous decrease of phase with increase in period is the  
239 effect of a time delay. We plot a predicted phase spectrum ( $2\pi f \Delta t$  – solid black line) for  
240 two time series time-shifted by 17 minutes. The observed phase values matches well with  
241 the predicted spectra. We plot the phase spectra with different successive delays. It is  
242 clear from the figure that a 17 minutes time delay is the most appropriate time delay for  
243 solar wind electric fields to propagate from bow-shock to equatorial ionosphere.

**Fig 4**

244  
245 It may be noted that this time-delay is an average over all the days. It is possible that  
246 some events (penetration) may have different time delays. Once we delay IEF, the phase  
247 spectra have negligible values for all the periods we consider. The residual time delay  
248 between IEF and EEF never exceeds 5 minutes. We discuss the implication of this  
249 finding in Section 5. In the subsequent analysis, we always delay IEF data by 17 min.

### 250 **3c. Dependence on local time**

251  
252 We examine the local time dependence of the electric field penetration to the day-time  
253 equatorial ionosphere. In order to separate the effects of different local times, we select 3-  
254 hour long windows centered at LTs 10:30, 11:30, 12:30, 13:30 and 14:30. By limiting our  
255 study between late morning and early evening, we do not include the possible influence  
256 of the EEF reversals in the morning and evening. The average coherence function is  
257 estimated from pairs of IEF and EEF (each with 3 hours length) following the approach  
258 we discussed earlier. The coherence function is thus limited to a period range from 10  
259 minutes to 3 hours. Figure 5(a) shows the coherence plots for different LT ranges. The

260 coherence is highest when we correlate the EEF measured during 10-13 (window is  
261 centered at 11:30) to IEF data. The coherence has a peak value of 0.7 at the 2 hours  
262 period. EEF data centered at 12:30 (measured during 11-14 LT) and at 1:30 (measured  
263 during 12-15LT) shows comparatively smaller coherence among all the frequencies  
264 considered. Lowest coherence functions between EEF and IEF were obtained when  
265 considering EEF data during morning (09-12LT) and afternoon (13-16LT). It appears that  
266 prompt penetration to the equatorial ionosphere is most efficient during local noon. It is,  
267 however, worth noting that the secondary spectral peak at 40 minutes shows almost no  
268 local time dependence.

269

270

### 271 **3d. Dependence on IMF polarity**

272

273 The IMF variation in N-S direction causes zonal electric field perturbations in the  
274 ionosphere through penetration of the IEF. To examine whether there is a difference in  
275 the efficiency of electric field penetration during IMF  $B_z$  positive and negative phases,  
276 we divide our data base into two corresponding groups (IMF  $B_z > 0$  and IMF  $B_z < 0$ ). We  
277 have 146 days of IMF  $B_z$  positive and 119 days of IMF  $B_z$  negative conditions. Figure  
278 5(d) shows the coherence plots for these periods between IEF and EEF. We can see that  
279 the coherence is almost identical during both conditions, except that negative IMF  $B_z$   
280 conditions result in slightly larger values of coherence at longer periods (1-3 hours).

281

282

**Fig 5**

### 283 **3e. Dependence on season and solar-flux**

284

285 The coherence estimation was carried out by grouping the observations into four seasons.  
286 November-February (Southern Summer), May-August (Southern Winter), March-April  
287 and September-October (equinoxes). Figure 5(c) shows the coherence plot for the  
288 different seasons. The coherence functions for summer and winter are almost identical.  
289 The coherence functions during the two equinox periods are slightly different. The

290 equinox coherence show slightly higher values up to a period 40 minutes. However, the  
291 differences themselves are not significant enough to warrant further discussion. Our  
292 conclusion is that the prompt penetration effects (at least up to 6 hours) are not  
293 significantly affected by the season.

294

295 The data set used here spans from solar maximum (2001) to solar minimum conditions  
296 (2008). We examine, whether the prompt penetration effect has a dependency on the  
297 solar flux level. We plot the coherence functions for different conditions of EUVAC.  
298 EUVAC is Extreme Ultraviolet (EUV) flux model for aeronomic calculations which is  
299 calculated as  $0.5*(F10.7+F10.7A)$ , where F10.7A is the 81-day moving average of F10.7  
300 (Richards et al., 1994). It has been shown that for ionospheric studies, EUVAC is a  
301 better proxy of solar irradiance than F10.7. We plot the coherence between IEF and EEF  
302 in Figure 5(b). The dependency on EUVAC is clear in the figure. The coherence  
303 between IEF and JULIA electric fields is lower for days with  $EUVAC > 120$ . The  
304 majority of the days used for the analysis fall into solar flux values lower than 120. An  
305 obvious explanation is the inverse relation of ionospheric conductivity and prompt  
306 penetration as suggested by Fejer et al. (2007).

307

308

#### 309 **4. Transfer function**

310

311 We construct a transfer function between the EEF and IEF data using all days for this  
312 purpose. The transfer function is the quotient of the cross-power spectral density ( $P_{IEF-EEF}$   
313  $_{EEF}$ ) of the two data sets and the power spectral density of the input ( $P_{IEF-IEF}$ ). In this case  
314 the input data set is the IEF and output data set is the EEF. The averaged cross and auto-  
315 power densities were estimated as described in the previous section. The equation for the  
316 transfer function is,

317

318 
$$T_{IEF-EEF}(\omega) = \frac{P_{EEF-IEF}(\omega)}{P_{IEF-IEF}(\omega)} .$$

319 We plot the magnitude of the transfer function in Figure 6 in a non-dimensional unit,  
320 decibel (dB). Decibel is a logarithmic measure of a ratio between input and output signal.  
321 It is calculated as  $20 \cdot \log_{10}(|T_{IEF-EEF}|)$ . For example a magnitude of -20 dB indicates an  
322 EEF/IEF ratio of 0.1 at that period. Similarly, -40 dB indicate a ratio of 0.01. The main  
323 feature of the transfer function is the broad peak around 2 hours, indicating maximum  
324 admittance of the IEF to EEF at this period. On both flanks of this peak, the transfer  
325 function magnitude decreases. The 2-hour peak of the transfer function magnitude is in  
326 agreement with a study carried out by Nicolls et al., (2007), using ACE IEF data and  
327 magnetometer derived EEF from Jicamarca. A major difference between two transfer  
328 functions is the slightly higher magnitude shown by our transfer function, especially  
329 towards smaller periods. Between 2 hours and 30 minutes, our transfer function shows a  
330 loss of magnitude by 7 dB, as compared to 15 dB in the result of Nicolls et al. (2007).  
331 This is due to the fact that, since JULIA measures the drift directly, more high frequency  
332 information about the electric field variations is captured in our study as compared to the  
333 magnetometer-inferred EF data used by them. The drift is directly related to the electric  
334 fields in contrast to the magnetometer which is sensitive to the electric currents which are  
335 modulated by the conductivity of the ionosphere.

**Fig 6**

336  
337 The right panel of the Figure 6 show the phase of the transfer function. The phase values  
338 are negligible for periods greater than 30 minutes, where IEF and EEF and are  
339 significantly coherent. Hence, the transfer function does not induce relative time delays  
340 among the considered frequency range.

#### 341 **4a. Application to synthetic data**

342  
343 We test the transfer function derived between IEF and EEF data with a set of synthetic  
344 time series of IEF as input. Previously, Senior and Blanc (1984), Spiro et al. (1988),  
345 Fejer et al. (1990) and Huba et al. (2005) used a step function of Auroral Electrojet (AE)  
346 index /Polar cap potential as an input to their model to examine the effect on the  
347 equatorial ionosphere. Recently, Huang (2007) and Nicolls (2007) described the use of  
348 synthetic step and triangle functions to examine the response of the equatorial

349 ionosphere. Uses of these synthetic functions can provide interesting insight into the  
350 behavior of the system. As we will see in the application sections, IEF shows abrupt  
351 changes on many occasions resembling step and spike functions, at least within the time  
352 resolution considered.

353

**Fig 7**

354 Figure 7 (a) shows the synthetic IEF time series. The red line shows a step function. The  
355 box function (blue line) and the triangle function (cyan line) are both 2 hours in duration.  
356 The spike function is represented by a black line. Peak amplitudes of all the functions are  
357 1 mV/m. The responses of the average transfer function to these input time series are  
358 shown in Figure 7 (b). The step function produces a sudden positive response in EEF data  
359 peaking at an amplitude of 0.045 mV/m. This is followed by a slow decay of the signal.  
360 The immediate response of a box function is the same as that of a step function. The  
361 decay phase is disturbed by the reversal of the input function. The triangle function is  
362 slower in build up of energy and hence its response in EEF is different from box and step  
363 functions.

364

365

366

#### 367 **4b. Application to measured IEF data**

368

369 We apply the average transfer function to 8 days of ACE IEF data and compare the  
370 response with the JULIA-inferred EEF data. We chose the days so as to include broadly  
371 different seasons and geomagnetic activity levels. In Figure 8 (a to h) we plot the  
372 observed and predicted EEF along with the ACE IEF data.

373

374 a) 2001-08-17, mean  $A_p=132.0$  (Southern Winter)

375

**Fig 8**

376 The IEF shows a variation of  $\pm 10$  mV/m during this geomagnetically active day. The  
377 observed EEF shows variations within  $\pm 0.4$  mV/m. The transfer function based  
378 prediction follows the major features of the EEF variations. Especially, the spike-like

379 variation of IEF causes a major reduction in the EEF, which is reproduced exactly by the  
380 transfer function (21:30 UT). However, the positive excursion of the observed EEF, just  
381 before this event, is not predicted by the transfer function, since there was no causative  
382 variation in the IEF data.

383

384 b) 2001-10-02.  $A_p = 80.0$  (Equinox)

385

386 The IEF displays a sharp step like variation starting at UT 15:00. The IEF remains in this  
387 positive phase at least for the next 9 hours. The response of the EEF to this signal is  
388 similar to the one we discussed in the synthetic test. The sudden positive offshoot is  
389 followed by a gradual decay of the EEF. The transfer-function based prediction of the  
390 EEF closely follows the observed response. However the observed and predicted EEF  
391 differ after 17 UT, most probably due to unreliable drift data. The DC shift between the  
392 predicted and observed EEF is probably due to an underestimation of climatological  
393 variations.

394

395 c) 2003-04-05,  $A_p 47.5$  (Equinox)

396

397 The IEF variations repeat a box-like function, starting at 17 UT and 19UT each lasting  
398 for about two hours. These fluctuations are manifested in the observed EEF as similar  
399 shaped variations. The predicted signals (shown in blue color) closely follow the  
400 observations. Especially the offshoot (sharp jump of the predicted/observed signal at the  
401 beginning of a box-function) and decay are reproduced.

402

403 d) 2003-06-21,  $A_p=19.5$  (Southern Winter)

404

405 During this moderately quiet day, we see a sudden decrease in the IEF near 17 UT. This  
406 produces the expected response in the EEF and it is reproduced by the transfer function  
407 output. However, the transfer function predicts a faster recovery of the EEF between 18  
408 UT and 20 UT than is shown by the observed EEF. The fluctuations around 15 UT are  
409 also well reproduced by the transfer function.

410

411 2004-10-30 (Equinox) and 2006-01-22.(Southern Summer) (e and f). These days have  
412 low Ap values. The fluctuations in the observed EEF are closely predicted by the transfer  
413 function.

414

415 g) 2005-09-02 Ap 89.0 (Equinox)

416 This was a relatively active day during Equinox. The IEF shows a series of fluctuations,  
417 starting at 15 UT. The corresponding responses of the observed EEF are broadly  
418 predicted by the transfer function. However, the transfer function output underestimates  
419 the observed peak amplitudes at 15, 16:30 and 19 UTs.

420

421 h) 2008-01-12 Ap = 15 (Southern summer).

422 The last plot (i) shows a IEF variation similar to a box function, starting at 15 UT. The  
423 IEF was positive from 15 UT to 17 UT. During this time, the observed EEF showed an  
424 initial offshoot followed by slow decay of the signal until 17:30 UT, when IEF reversed  
425 its polarity to negative values. The predicted EEF closely follows the initial response,  
426 however, it decays faster than the observed data.

427

## 428 ***5. Discussion and conclusion***

429

430 We described the relation between the Interplanetary Electric Field (IEF) and the  
431 Equatorial ionospheric zonal Electric Field (EEF) at Jicamarca using eight years of data.  
432 We computed the coherence between IEF and EEF for periods up to 6 hours and  
433 examined its dependency on season, local time, solar flux and IMF  $B_z$  conditions. In this  
434 Section, we discuss implications of our results.

435

436 Frequency dependency of the electric field prompt penetration was reported in an earlier  
437 work by Earle and Kelley (1987). They compared radar-based electric field  
438 measurements between a high-latitude and a low-latitude region. They found that for the  
439 period range 1-10 hours the penetration effects dominate the climatological effects in the

440 low latitude ionosphere during magnetically active periods ( $K_p > 3$ ). They obtained  
441 highest efficiency of penetration for periods 3-5 hours. We find that the coherence  
442 between IEF and EEF is highest for periods around 2 hours during days with  $A_p > 20$ , as  
443 compared to those with  $A_p < 20$ . However, even during magnetically quiet days the  
444 coherence is significant. This doesn't imply that the penetration signals dominate the  
445 atmospheric/climatological variation during quiet days. However, the prompt penetration  
446 signal at Jicamarca has sufficient power to be measured by the radar even during quiet  
447 periods. Abdu et al. (2003) showed evidence for prompt penetration even on an  
448 extremely quiet day. The peak coherence around 2 hours between IEF and EEF is in  
449 agreement with the broad peak of the transfer function between IEF and EEF proposed by  
450 Nicolls et al. (2007). The peak in coherence indicates that the system that couples  
451 magnetosphere and the equatorial ionosphere has a preferred response in the period range  
452 around 2 hours.

453

454 This is the first time that cross-phase spectra between IEF and JULIA measured EEF are  
455 reported. A large data base allowed us to look into the phase spectra between the two data  
456 sets. Our aim was to find out whether their cross-phase spectra are frequency dependent.  
457 We find that the time delay between the IEF and the EEF is less than 5 minutes for all  
458 harmonics in the period range considered here. Since there is no frequency-dependent  
459 phase delay associated with IEF and EEF, we can conclude that the process that causes  
460 the coherence between the two signals in our study is consistent with the prompt  
461 penetration. This is in contrast to the comments by Fejer et al. (2002) suggesting that the  
462 prompt penetration effect essentially vanishes after 1 hour. It is possible that the EEF data  
463 we used are also affected by electric fields due to disturbance dynamo action. However,  
464 in the average cross-spectral estimates presented here, the effect of disturbance dynamo  
465 will be averaged out. This is due to the fact that while PP is fast and its effect is  
466 immediate at equatorial latitudes, the onset time of the disturbance dynamo effect in the  
467 equatorial region varies from event to event. In addition, as pointed out by Maruyama et  
468 al., (2005), the disturbance dynamo dominates over prompt penetration only in the  
469 evening hours, whereas our analysis is limited to local day-time.

470



471 We also give evidence that the prompt penetration doesn't cause relative phase variation  
472 greater than 5 minutes between different harmonics of IEF and EEF. Koba et al. (2000)  
473 compared magnetic data during a geomagnetic storm from a high latitude and a low-  
474 latitude station and found them highly coherent within periods 25-75 min. More  
475 importantly, they could not find any phase differences between the high-latitude and  
476 equatorial data sets. Other than the constant time delay caused by the propagation of solar  
477 wind signals from the L1 point to the auroral regions, we do not find any phase difference  
478 between the two data sets. There is wide consensus that prompt propagation of electric  
479 fields from high to low latitude is very fast (<1 min). Our finding is that the transition  
480 from solar wind to high latitude is frequency independent. We find that the optimum  
481 propagation time of the IEF from the magnetosphere's bow-shock nose to the equatorial  
482 ionosphere is 17 minutes. The propagation time can be broken down into three parts: The  
483 solar wind takes 3-4 minutes to travel from the bow shock nose to the magnetopause - a  
484 distance of about 3 Re and with a speed of 100 km/s. The remaining 14 minutes are due  
485 to the propagation from magnetopause to high-latitude ionosphere (e.g. Vennerstrom et  
486 al 2002). The prompt penetration is very fast between high and low latitude ionosphere  
487 (e.g. Koba et al., 2000).

488

489

490 The most obvious local time effect of prompt penetration to the equatorial ionosphere is  
491 the difference between day and night. The IEF variation that causes penetrating eastward  
492 electric fields on the day-side equatorial ionosphere will cause a westward electric field  
493 in the night-side ionosphere (e.g. Fejer, 2002). Our interest is to study the local-time  
494 dependence of prompt penetration to the day-time equatorial ionosphere. During the  
495 JULIA measurement period (08-16LT), the ionospheric conductivity undergoes  
496 variations due to EUV ionization and  $E \times B$  related plasma uplift. The conductivity  
497 reaches a maximum during local noon time. It is believed that in the mid and low latitude  
498 ionosphere, the penetration electric field is inversely proportional to the ionospheric  
499 conductivity (e.g. Fejer, 2002). However, we see that the coherence between IEF and  
500 EEF is maximal when we use a 3-hour long window centered on local noon-time. The  
501 morning and afternoon hours show lower coherence between IEF and EEF, at least for

502 the long-period variations. Many of the previous studies have looked at events away from  
503 noon when the 0.7 hours (~40 minutes) peak becomes more prominent. Since the polar  
504 potential difference is maximal between dawn and dusk, we speculate that the prompt  
505 penetration effect is maximally aligned east-west during local noon time and weaker  
506 during morning and evening. Hence the radar measurements at an equatorial station will  
507 be most sensitive to prompt penetration during local noon. In addition, as pointed out by  
508 Chau and Kudeki (2006), the “150 km echoes” from the ionosphere, which are used in  
509 the JULIA radar measurements to determine the EEF, become less frequent and have  
510 lower power before 9:00 LT and after 15:00 LT.

511

512

513 Fejer & Schierliess (1997, Figure 5) showed the response of the equatorial ionospheric  
514 drift to a step function in the polar cap potential (PCP). They found that the effect on the  
515 drift vanish 60 minutes after the start of the step function. Huba et al. (2005) presented a  
516 simulation using a fully coupled, self-consistent model of the inner magnetosphere and  
517 global ionosphere. Huang et al. (2007) applies this model to a step and a triangle function  
518 of PCP and find that the EEF vanish within 60 minutes of the perturbations in the PCP. In  
519 contrast, the transfer function we presented shows longer (up to 3 hours) effect on the  
520 equatorial electric field to a step function of IEF. Note that above models produce  
521 equatorial responses only on the increase (under shielding effects) of the PCP, whereas  
522 our transfer function includes both increasing and decreasing cases of IEF. Our results are  
523 more comparable with the characteristics of the transfer function between IEF and EEF  
524 presented by Nicolls et al. (2007). They use IEF and magnetometer derived EEF to  
525 produce a transfer function between them. The amplitude of the immediate response of  
526 EEF (offshoot) to IEF perturbations, predicted by our transfer function is slightly higher  
527 (0.045 mV/m) than that by Nicolls et al. (2007). The immediate response of our transfer  
528 function is superior to that of Nicolls et al. (2007) since the JULIA-derived EEF has  
529 higher frequency resolution than the magnetometer derived EEF. Nicolls et al. (2007)  
530 show that the response (prompt penetration) of the equatorial ionosphere due to a step  
531 function in IEF lasts for more than 6 hours. However, the response of our transfer  
532 function is negligible after 3 hours. It is possible that the long-period response shown by

533 Nicolls et al. (2007) is due to the lack of a background model that dampens their quasi-  
534 DC responses. It may be noted that the 6-hour limitation of the time length of the data  
535 pairs considered here makes the long period ( $> 3$  hours) response of our transfer function  
536 less certain. There are some indications in Figure 8 that our transfer function  
537 underestimates the long periods. Finally, it should be noted that our transfer function is an  
538 average over all magnetospheric / ionsospheric conditions. Hence, the transfer function  
539 may not explain all the prompt-penetration effects on EEF.

540

541 Another objective of our paper is to study the use of the transfer function to add day-to-  
542 day variability to a climatological model. We compute the daily variation of EEF by  
543 subtracting the EEF given by a climatological model of the JULIA drift data (Alken,  
544 manuscript in preparation). This has been done for 663 days from 2001-2008. The root  
545 mean square (RMS) of the daily variation of EEF (averaged over all the days) was 0.0537  
546 mV/m. We then predict the daily variation by applying the transfer function with ACE  
547 derived IEF as input. The transfer function could explain 27 % of this daily RMS. On  
548 magnetically active days (defined as  $A_p > 20$ ), the RMS of daily variation was 0.1369  
549 mV/m, of which 38% was due to prompt-penetrating electric fields. The results are  
550 summarized in Table 1.

551

552

553

**Tab 1**

554 This shows that we can explain around 27% of the residuals, which are obtained when  
555 subtracting a climatological model from the actual EEF measurements, by predicting the  
556 penetration effects with the help of our transfer function.

557

558

559

## 560 **6. Summary and Conclusions**

561

562 We studied the relationship between the interplanetary electric fields (IEF) and the  
563 equatorial ionospheric electric field (EEF) as a function of frequency. We used eight  
564 years of IEF data from the ACE satellite and equatorial ionospheric drift data from the  
565 JULIA radar. We limited our study to local day-time at the JULIA location and period  
566 from 10 minutes to 6 hours. We computed the coherence between the two data sets and  
567 examined its dependency on geomagnetic activity level, local time, season, solar flux and  
568 IMF  $B_z$  conditions. We also constructed a transfer function between IEF and EEF and  
569 compared the predicted data with the observed data. Further, we examined the use of the  
570 transfer function to reduce the variance of a climatological model for JULIA drift. Our  
571 findings are summarized as:

572

573 1. The coherence between IEF and EEF peaks around 2 hours period with a maximum  
574 magnitude squared coherence of 0.6. We find that the coherence is higher (0.7) during  
575 magnetically active days (defined here as  $A_p > 20$ ).

576

577 2. Optimum propagation time for the IEF to travel from the magnetosphere's bow-shock  
578 nose to the equatorial ionosphere is 17 minutes. The phase difference between the IEF  
579 and EEF (after delaying IEF with optimum delay time) is within  $\pm 13^\circ$  for the entire  
580 period during which the two data set are coherent. The lack of relative phase differences  
581 in their frequency range indicates that, the process that makes the IEF and EEF signals  
582 coherent is consistent with the prompt penetration. In addition, there seems to be no  
583 phase modulation of the interplanetary signal while it propagates through the  
584 magnetosphere.

585

586 3. We examine the dependence of electric field penetration on the local time at JULIA  
587 station. We find that the coherence is around 0.7 when using only data around noon-time,  
588 as compared to relatively lower coherencies for morning and evening hours.

589

590 4. The coherence between IEF and EEF is lower for days with high solar flux levels  
591 (defined as  $EUVAC > 120$ ) as compared to days with lower solar flux levels. We find

592 that the prompt penetration of electric fields into the equatorial ionosphere has no  
593 significant dependence on season and on the polarity of IMF  $B_z$ .

594

595 5. We estimated a transfer function between IEF and EEF, which was validated on  
596 synthetic as well as observed IEF data. We find that the use of this transfer function  
597 decreases the misfit between predicted and observed equatorial electric fields by an  
598 average of 27%, over using a purely climatological model.

599

600

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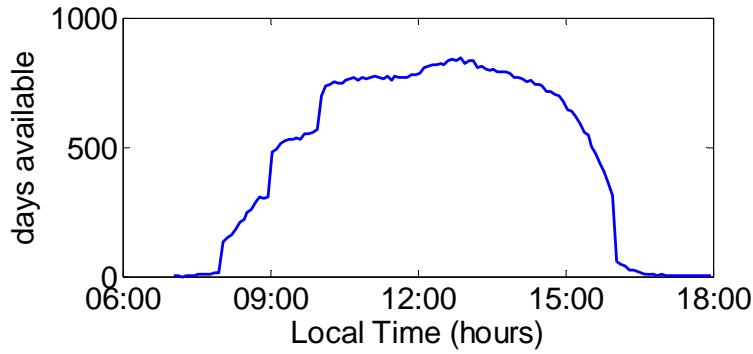
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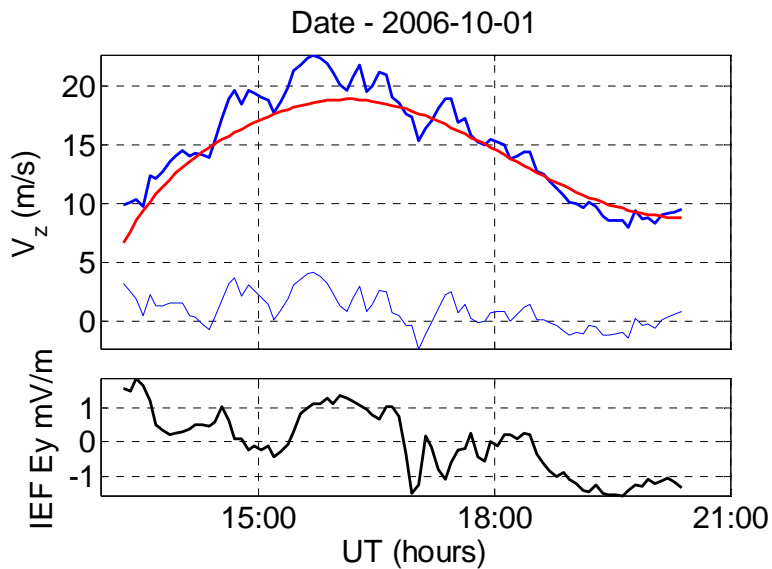
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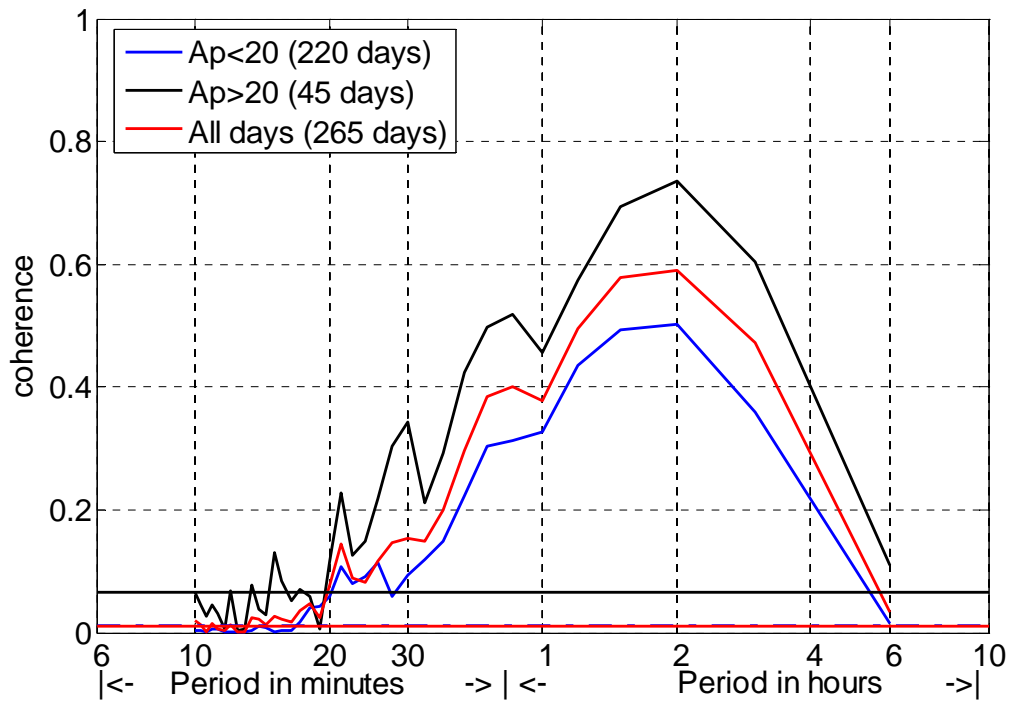
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Figure 1. Number of available drift-measurements versus the local time of JULIA radar.



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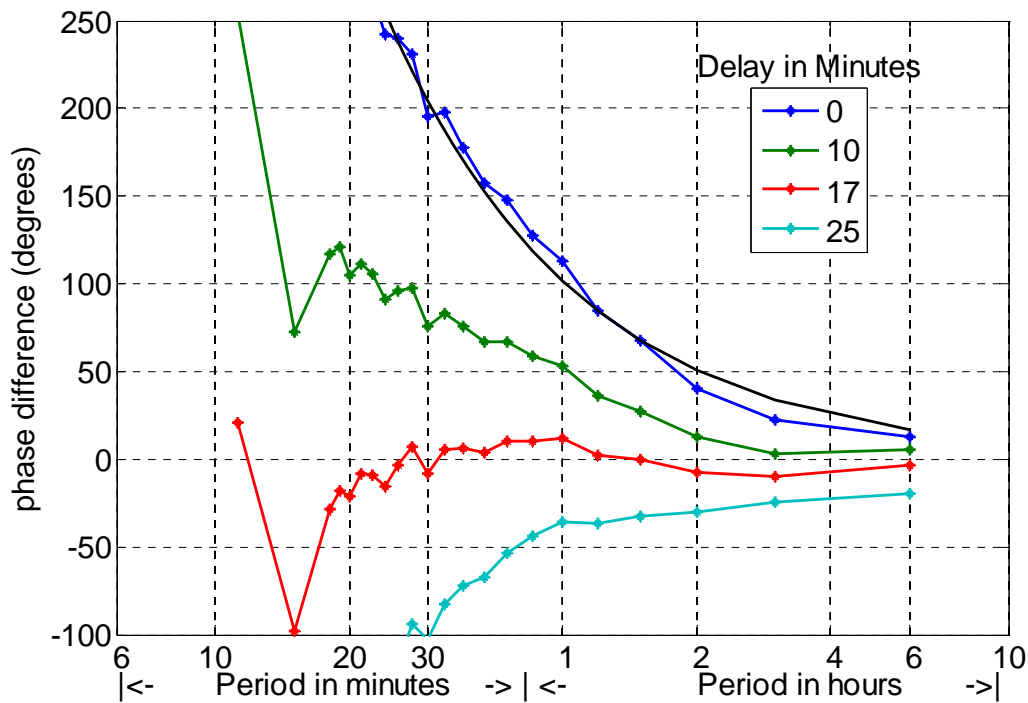
Figure 2. An example of the correction of the diurnal variation of the drift data. The observed variation of the drift for 2006-10-1 is presented in blue line. The red line indicates the daily variation, as predicted by the climatological model. The thin line in the upper panel indicates the residual drift variation. The lower panel indicates the corresponding IEF data.



718

719 Figure 3. The coherence between IEF and EEF. The coherence is higher for days with  
 720 higher magnetic activity level. The straight lines indicate a significance level of the  
 721 coherence estimation with 95% confidence interval.

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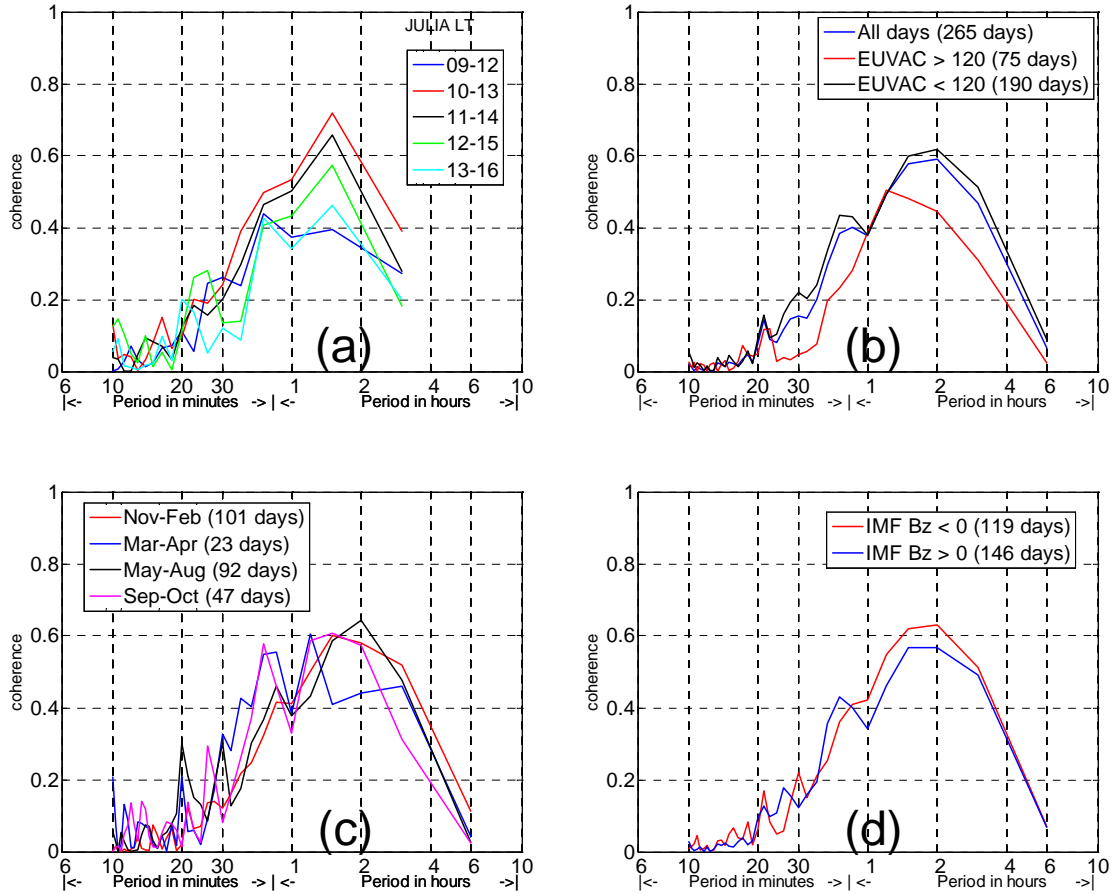


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724 Figure 4. Cross phase spectra between IEF and EEF, averaged over all the days  
 725 considered. The blue line shows the phase spectra without time shift correction.  
 726 Theoretical phase difference corresponding to a 17 minutes time delay is plotted with  
 727 solid black line. The phase spectra for different time delays between IEF and EEF are  
 728 given. It is clear from the figure that a 17 minutes delay is most appropriate. It may also  
 729 be noted that there is negligible phase differences among frequencies when the optimum  
 730 delay is applied. The phase spectra are un-reliable for periods less than 20 minutes.

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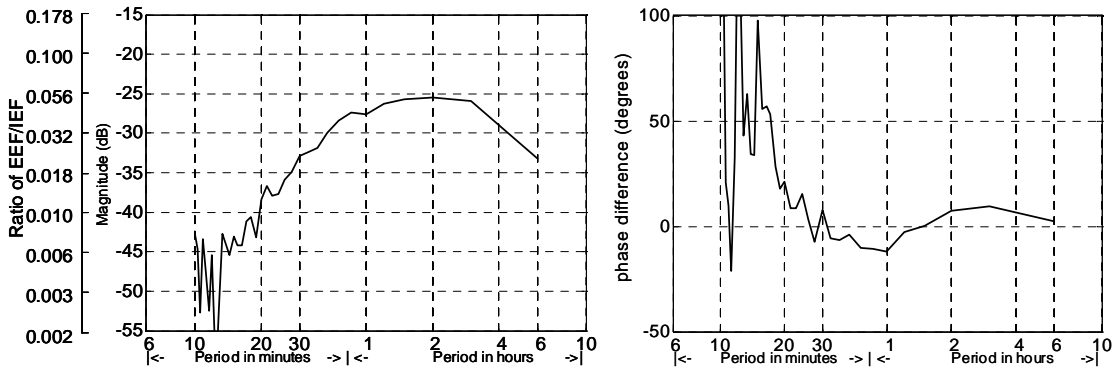
734 Figure 5. Dependence of the coherence between IEF and EEF on local time (a), solar flux  
 735 (b), Season (c) and IMF  $B_z$  condition (d).

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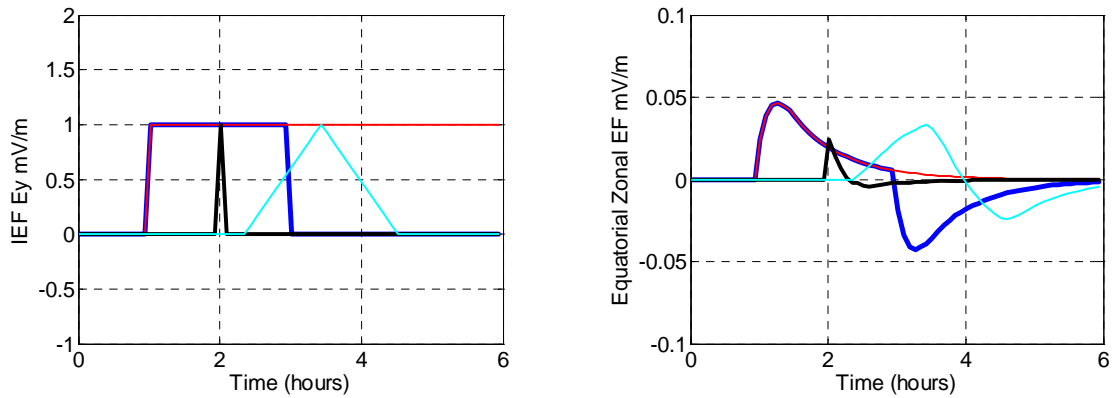


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741 Figure 6. Transfer function between IEF and IMF, estimated using all the available days.

742 (Left panel) The magnitude of the transfer function and (Right panel) The phase of the  
 743 transfer function.

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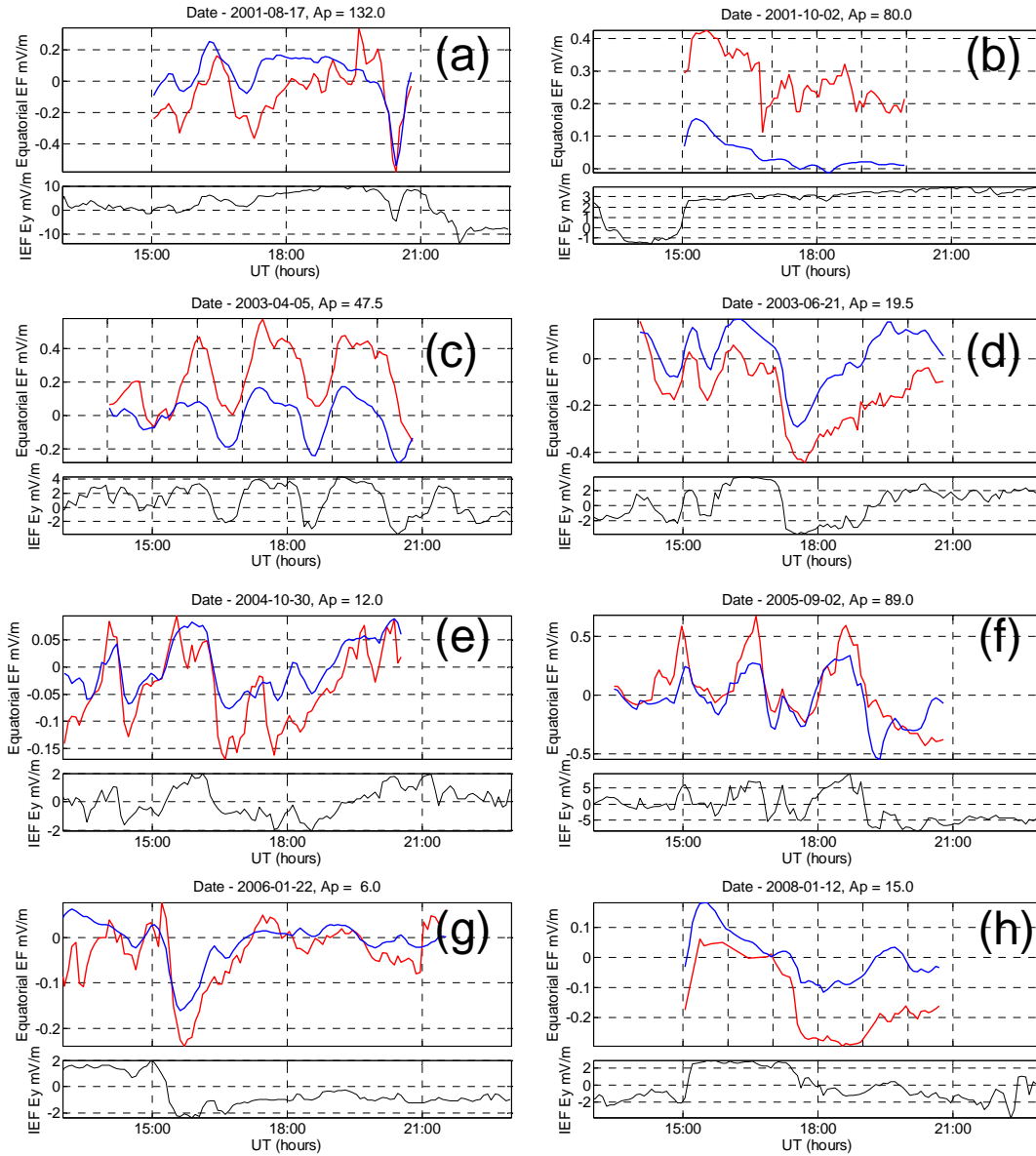


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747 Figure 7. Left (a) synthetic time series of IEF and right (b) responses of the transfer  
 748 function, when applied to the synthetic time series.

749



750

751 Figure 8. Application of the average transfer function on ACE IEF data. The Red line  
 752 indicates the observed EEF inferred from JULIA and the blue line indicate the predicted  
 753 EEF. The lower panels show the corresponding IEF data.

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Description	RMS of daily variation (observation-climatological model) mV/m	Residuals explained by penetration	
		mV/m	%
All days (663 days)	0.0537	0.0146	27.2
Ap > 20 (145 days)	0.1369	0.0521	38.0

760

761 Table 1. Average daily root mean square (RMS) values of residual Equatorial Electric  
762 Field (EEF) from 663 days. Daily EEF variations derived from the climatological model  
763 are subtracted from the observed EEF to obtain the residuals. The transfer function is  
764 then made to predict the EEF from the IEF data.

765

766

767